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| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))   |             |                  |              |                | Docket No.<br>50055-00032 |  |
| In Re Application Of: <b>BAWAPATNA, et al.</b>  |             |                  |              |                |                           |  |
| APR 24 2006   |             |                  |              |                |                           |  |
| Application No.   | Filing Date | Examiner         | Customer No. | Group Art Unit | Confirmation No.          |  |
| 10/781,122  | 02/17/2004  | Not Yet Assigned | 25231        | 2611           | 1976                      |  |
| Title:<br>"ENHANCED SECURITY COMMUNICATIONS SYSTEM"   |             |                  |              |                |                           |  |
| Address to:<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450   |             |                  |              |                |                           |  |
| <b>37 CFR 1.97(b)</b>   |             |                  |              |                |                           |  |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |             |                  |              |                |                           |  |
| <b>37 CFR 1.97(c)</b>   |             |                  |              |                |                           |  |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:   |             |                  |              |                |                           |  |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);   |             |                  |              |                |                           |  |
| <b>OR</b>   |             |                  |              |                |                           |  |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).   |             |                  |              |                |                           |  |

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.  
50055-00032

In Re Application of: BASA WAPATNA, et al.

|                 |             |                  |              |                |                  |
|-----------------|-------------|------------------|--------------|----------------|------------------|
| Application No. | Filing Date | Examiner         | Customer No. | Group Art Unit | Confirmation No. |
| 10/781,122      | 02/17/2004  | Not Yet Assigned | 25231        | 2611           | 1976             |

Title:

"ENHANCED SECURITY COMMUNICATIONS SYSTEM"

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa: \_\_\_\_\_)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

## Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

4-19-06

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: APRIL 19, 2006

ROBERT G. CROUCH  
REGISTRATION NO. 34,806  
MARSH FISCHMANN & BREYFOGLE, LLP  
3151 S. VAUGHN WAY, SUITE 411  
AURORA, CO 80014  
720-562-5506

CC:



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BASAWAPATNA, et al.

Serial No.: 10/781,122

Filed Date: FEBRUARY 17, 2004

Confirmation No.: 1976

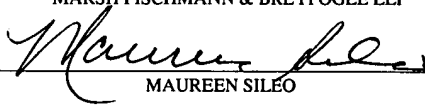
Atty. Docket No.: 50055-00032

For: "ENHANCED SECURITY  
COMMUNICATIONS SYSTEM"

) Group Art Unit: 2611

) Examiner: NOT YET ASSIGNED

) INFORMATION DISCLOSURE  
) STATEMENT

|   |
|---|
| <p style="text-align: center;">CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON <u>APRIL 19, 2006</u>.</p> <p style="text-align: center;">MARSH FISCHMANN &amp; BREYFOGLE LLP</p> <p>BY: <br/>MAUREEN SILEO</p> |
|---|

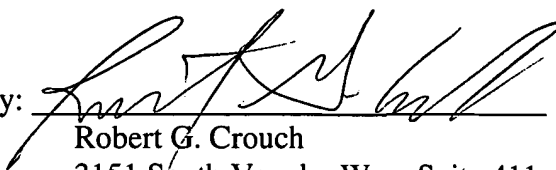
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby provides the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified application.

Respectfully submitted,

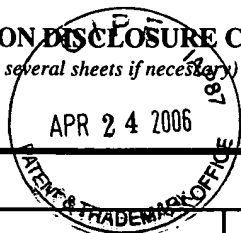
MARSH FISCHMANN & BREYFOGLE LLP

By:   
Robert G. Crouch  
3151 South Vaughn Way, Suite 411  
Aurora, Colorado 80014  
Telephone: 720-562-5506

Date: April 19, 2006

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

50055-00032

Application Number

10/781,122

Applicant(s)

BASAWAPATNA, et al.

Filing Date

FEBRUARY 17, 2004

Group Art Unit

2611

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|---------|------------------|-------|----------|-------------------------------|
|                      | 1.  | 5,779,806       | 08-1998 | KOPERDA          | 709   | 252      |                               |
|                      | 2.  | 5,604,528       | 02-1997 | EDWARDS, et al.  | 725   | 25       |                               |
|                      | 3.  | 6,057,872       | 05-2000 | CANDELORE        | 725   | 23       |                               |
|                      | 4.  | 5,917,830       | 06-1999 | CHEN, et al.     | 370   | 487      |                               |
|                      | 5.  | 6,243,446       | 06-2001 | GOODMAN          | 379   | 93.01    |                               |
|                      | 6.  | 6,188,871       | 02-2001 | KITAMURA, et al. | 725   | 120      |                               |
|                      | 7.  | 5,905,941       | 05-1999 | CHANTEAU         | 725   | 127      |                               |
|                      | 8.  | 5,719,872       | 02/1998 | DUBBERLY, et al. | 370   | 487      |                               |
|                      | 9.  | 5,847,660       | 12-1998 | WILLIAMS, et al. | 370   | 227      |                               |
|                      | 10. | 5,481,757       | 01-1996 | MIHARA, et al.   | 725   | 119      |                               |
|                      | 11. | 5,812,928       | 09-1998 | WATSON, et al.   | 725   | 118      |                               |

## FOREIGN PATENT DOCUMENTS

|  | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> | ATTY DOCKET NO.<br><b>50055-00032</b>      | APPLICATION NO.<br><b>10/781,122</b> |
|  | APPLICANT(S)<br><b>BASAWAPATNA, et al.</b> |                                      |
|  | FILING DATE<br><b>FEBRUARY 17, 2004</b>    | GROUP ART UNIT<br><b>2611</b>        |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL |     | DOCUMENT NUMBER | DATE    | NAME          | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|---------|---------------|-------|----------|-------------------------------|
|                      | 12. | 5,613,190       | 03/1997 | HYLTON        | 725   | 81       |                               |
|                      | 13. | 5,521,906       | 05/1996 | GRUBE, et al. | 370   | 252      |                               |
|                      | 14. | 5,495,483       | 02/1996 | GRUBE, et al. | 370   | 341      |                               |
|                      | 15. | 5,408,260       | 04/1995 | ARNON         | 725   | 106      |                               |
|                      | 16. | 5,382,971       | 01/1995 | CHANTEAU      | 725   | 144      |                               |

**U.S. PATENT APPLICATION PUBLICATIONS**

| *EXAMINER<br>INITIAL |  | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
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**FOREIGN PATENT DOCUMENTS**

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|  | APPLICANT(S)<br><b>BASAWAPATNA, et al.</b> |                                      |
|  | FILING DATE<br><b>FEBRUARY 17, 2004</b>    | GROUP ART UNIT<br><b>2611</b>        |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|---------|------------------|-------|----------|-------------------------------|
| 17.                  | 5,247,347       | 09/1993 | LITTERAL, et al. | 725   | 347      |                               |
| 18.                  | 5,220,420       | 06/1993 | HOARTY, et al.   | 725   | 119      |                               |
| 19.                  | 4,901,367       | 02/1990 | NICHOLSON        | 725   | 119      |                               |
| 20.                  | 4,829,560       | 05/1989 | EVANYK, et al.   | 379   | 106.11   |                               |
| 21.                  | 3,889,050       | 06/1975 | THOMPSON         | 725   | 119      |                               |

**U.S. PATENT APPLICATION PUBLICATIONS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
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**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> |     |                 |         | ATTY DOCKET NO.<br><b>50055-00032</b>      |       | APPLICATION NO.<br><b>10/781,122</b> |                               |
|  |     |                 |         | APPLICANT(S)<br><b>BASAWAPATNA, et al.</b> |       |                                      |                               |
|  |     |                 |         | FILING DATE<br><b>FEBRUARY 17, 2004</b>    |       | GROUP ART UNIT<br><b>2611</b>        |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |     |                 |         |  |       |                                      |                               |
| *EXAMINER<br>INITIAL   |     | DOCUMENT NUMBER | DATE    | NAME                                       | CLASS | SUBCLASS                             | FILING DATE<br>IF APPROPRIATE |
|  | 22. | 3,990,012       | 11/1976 | KARNES                                     | 325   | 308                                  |                               |
|  | 23. | 4,331,974       | 05/1982 | COGSWELL, et al.                           | 358   | 86                                   |                               |
|  | 24. | 4,475,123       | 10/1984 | DUMBAULD, et al.                           | 358   | 114                                  |                               |
|  | 25. | 4,823,386       | 04/1989 | DUMBAULD, et al.                           | 380   | 13                                   |                               |
|  | 26. | 5,243,647       | 09/1993 | PARIKH, et al.                             | 380   | 4                                    |                               |
| <b>U.S. PATENT APPLICATION PUBLICATIONS</b>  |     |                 |         |  |       |                                      |                               |
| *EXAMINER<br>INITIAL   |     | DOCUMENT NUMBER | DATE    | NAME                                       | CLASS | SUBCLASS                             | FILING DATE<br>IF APPROPRIATE |
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| <b>FOREIGN PATENT DOCUMENTS</b>  |     |                 |         |  |       |                                      |                               |
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|  | APPLICANT(S)<br><b>BASAWAPATNA, et al.</b> |                                      |
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**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | 27. | DOCUMENT NUMBER | DATE    | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|---------|-------------------|-------|----------|-------------------------------|
|                      |     | 5,774,527       | 06/1998 | HANDELMAN, et al. | 379   | 93.07    |                               |
|                      | 28. | 5,790,176       | 8/1998  | CRAIG             | 348   | 13       |                               |
|                      | 29. | 5,847,751       | 12/1998 | SAFADI            | 348   | 7        |                               |
|                      |     |                 |         |                   |       |          |                               |
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**U.S. PATENT APPLICATION PUBLICATIONS**

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**FOREIGN PATENT DOCUMENTS**

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